AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of the Claims:

(Currently Amended) A tricyclic derivative represented by the following <Formula
or pharmaceutically acceptable salts thereof:[[.]]

<Formula 1>

(Wherein, wherein

(1) R_1 is $-T_1-B_1$;

in which wherein T_1 is $-X_1$ -, $-X_1$ - $C(X_2)$ -, $-N(R_5)$ -, $-N(R_5)C(X_2)$ -, $-N(R_5)S(O)n_1$ -, $-N(R_5)C(O)$ - X_1 - or $-N(R_5)C(X_1)NH$ -,

wherein in that X_1 and X_2 are each O or S[[,]];

 R_5 is each H or $C_1 \sim C_5$ alkyl group, n_1 is an integer of $1\sim2$; and

 B_1 is selected from a the group consisting of following (a) - (j),

 $CH_2)n_2$

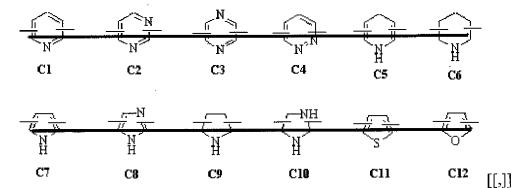
Wherein wherein,

consisting of O, S and N[[,]]; preferably,

 R_6 and R_8 are each H, halogen, hydroxy, $C_1 \sim C_3$ alkoxy, amino, nitro, cyano or $C_1 \sim C_3$ lower alkyl group;

 R_7 and R_9 are each independently halogen, hydroxy, mercapto, -ONO, -ONO₂ or SNO, in which R_7 and R_9 are same or different;

is a C_5 $^{\sim}$ C_6 membered saturated or unsaturated heterocyclic ring containing 1~2 of hetero atom, in which the hetero atom is selected from a group



more preferably, C1 (pyridyl group) substituted at position 2 and 6 or position 2 and 5, C7 (pyrrolyl group) substituted at position 2 and 5 or position 2 and 4, C11 (thiophenyl group) or C12 (furanyl group);

 Z_1 is $C_1 \sim C_{10}$ straight-chain or branched-chain alkyl group, preferably $C_2 \sim C_5$ -straight-chain or branched-chain alkyl group or cycloalkyl group having substituent;

 Z_2 and Z_3 are each independently H or methyl group, in which Z_3 is H when Z_2 is methyl group, Z_2 is H when Z_3 is methyl group;

 T_2 is $-X_1$ - or $-X_1$ - $C(X_2)$ -, in that X_1 and X_2 are each independently O or S; B_2 is selected from a the group consisting of said (a), (b), (c), (d) or (e)

 n_2 is an integer of $0\sim3$:[[,]]

 n_3 is an integer of $0\sim 5$:[[,]]

 n_4 is an integer of $1\sim 5[[,]]$; and

 n_5 and n_6 are each independently an integer of 1~6;

- (2) R_2 and R_3 are each independently H, -PO₃H₂, phosphonate, sulfate, $C_3 \,^{\sim} \, C_7$ cycloalkyl, $C_2 \,^{\sim} \, C_7$ alkenyl, $C_1 \,^{\sim} \, C_7$ alkenyl, $C_1 \,^{\sim} \, C_7$ alkanoyl, $C_1 \,^{\sim} \, C_7$ straight-chain or branched-chain alkyl or sugar, in which sugar is a monosaccharide such as glucuronyl, glucosyl or galactosyl;
- (3) R_4 is OCH₃, SCH₃ or $NR_{10}R_{11}$, in which R_{10} and R_{11} are each independently H or $C_{1\sim5}$ alkyl; and
 - (4) X is O or S.[[)]]
- 2. (Canceled)
- 3. (Currently Amended) The \underline{A} tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in claim 1, wherein the tricyclic derivative comprises:

1)

6-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-be nzo[a]heptalen -7-yl]-nicotineamide;

2) 5-nitrooxymethyl-furan-2-carboxylic

acid-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

3)

N-[(7S) 3 isopropoxy-1,2-dimethoxy-10-methyl-sulfanyl 9 oxo-5,6,7,9-tetrahydro-benzo[a | heptalen-7-yl] 3 nitrooxymethyl-benzamide;

4)

N-[(7S) 3 ethoxy-1,2-dimethoxy-10-methyl-sulfanyl-9 oxo 5,6,7,9-tetrahydro benzo[a]hep talen-7-yl] 3 nitrooxymethyl-benzamide;

- 5) 6-nitrooxymethyl-pyridine-2-carboxylic acid-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;
- 6) 5-nitrooxymethyl-thiophene-2-carboxylic acid-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

7)

N [(7S) 3 cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl 9 oxo-5,6,7,9 tetrahydro-benz o[a]heptalen -7 yl] 3 nitrooxymethyl-benzamide;

8)

N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]hept alen-7-yl]-2-fluoro-3-nitrooxymethyl-benzamide;

9)

2-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-3-nitrooxymethyl-benzamide;

10)

2-fluoro-3-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetra hydro-benzo[a]heptalen-7-yl]-benzamide;

11)

N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benz o[a]heptalen-7-yl]-2-fluoro-3-nitrooxymethyl-benzamide;

12)

3-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetra hydro-benzo[a]heptalen-7-yl]-benzamide;

13)

N-[(7S)-3-ethoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]hept alen-7-yl]-3-fluoro-5-nitrooxymethyl-benzamide;

14)

3-fluoro-N-[(7S)-3-isopropoxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydrobenzo[a]heptalen-7-yl]-5-nitrooxymethyl-benzamide;

15)

N-[(7S)-3-cyclopentyloxy-1,2-dimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benz o[a]heptalen-7-yl]-3-fluoro-5-nitrooxymethyl-benzamide;

16)

4-fluoro-3-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetra hydro-benzo[a]heptalen-7-yl]-benzamide;

17)

2-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetra hydro-benzo[a]heptalen-7-yl]-benzamide;

18)

3-hydroxy-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

19)

3,5-bis-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahyd ro-benzo[a]heptalen-7-yl]-benzamide;

20)

- 2-hydroxy-4-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tet rahydro-benzo[a]heptalen-7-yl]-benzamide;
- 21) 4-nitrooxymethyl-thiophene-2-carboxylic acid
- [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;
- 22) 3-nitrooxymethyl-thiophene-2-carboxylic acid
- [(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-amide;

23)

2-(3-nitrooxymethyl phenyl) N [(7S) 1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tet rahydro-benzo[a]heptalen-7-yl] acetamide;

24)

- 3-(2-nitrooxy ethyl) N [(7S) 1,2,3 trimethoxy 10 methylsulfanyl 9 oxo 5,6,7,9-tetrahydrobenzo[a]heptalen 7 yl] benzamide;
- 25) 3-nitrooxybenzoic
- acid-5-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-pyridine-2-yl-methylester;
- 26) 4-nitrooxybutyric
- acid-5-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-pyridine-2-yl-methylester;
- 27) 3-nitrooxymethyl-benzoic
- acid-6-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-pyridine-2-yl-methylester;

28) 4-nitrooxybutyric

acid-6-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-pyridine-2-yl-methylester;

29) 3-nitrooxymethyl-benzoic

acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-phenylester;

30) 4-nitrooxybutyric

acid-2-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-phenylester;

31) 3-nitrooxymethyl-benzoic

acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-phenylester;

32) 4-nitrooxybutyric

acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-phenylester;

33) 3-nitrooxymethyl-benzoic

acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-benzylester;

34) 4-nitrooxybutyric

acid-3-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptale n-7-yl-carbamoyl]-benzylester;

35)

2-nitrosothio-N-[(7S) 1,2,3 trimethoxy 10 methylsulfanyl-9 oxo 5,6,7,9 tetrahydro benzo[a]heptalen 7 yl] benzamide;

36)

3-nitrosooxymethyl-N-[(7S) 1,2,3 trimethoxy 10 methylsulfanyl-9-oxo 5,6,7,9-tetrahydro-benzo[a]heptalen 7 yl] benzamide;

37) 3-fluoro-5

3-fluoro-5-nitrosooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tet rahydro-benzo[a]heptalen-7-yl]-benzamide;

38)

3 nitrosothiomethyl N [(7S) 1,2,3 trimethoxy 10 methylsulfanyl 9-oxo 5,6,7,9 tetrahydrobenzo[a]heptalen 7-yl] benzamide;

39)

3-fluoro-5-nitrosothiomethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tet rahydro-benzo[a]heptalen-7-yl]-benzamide;

40)

3-fluoro-5-nitrooxymethyl-N-[(7S)-1,2,3,10-tetramethoxy-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

41)

3 nitrooxymethyl N methyl N [(7S) 1,2,3 trimethoxy 10 methylsulfanyl 9 oxo 5,6,7,9 tetrahydro benzo[a]heptalen 7 yl] benzamide;

42)

3-fluoro-N-methyl-5-nitrooxymethyl-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5, 6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-benzamide;

43)

2-(3-fluoro-5-nitrooxymethyl-phenyl)-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-acetamide; or

44)

- 2-(2-fluoro-5-nitrooxymethyl-phenyl)-N-[(7S)-1,2,3-trimethoxy-10-methylsulfanyl-9-oxo-5,6,7,9-tetrahydro-benzo[a]heptalen-7-yl]-acetamide.
- 4. (Canceled)
- 5. (Currently Amended) An anticancer agent <u>composition</u> or anti-proliferation agent <u>composition containing comprising the</u> tricyclic derivatives of any one of claim 1 claim 3

or pharmaceutically acceptable salts thereof <u>as set forth in any one of claims 1, 3, or 7</u> as an effective ingredient <u>and a pharmaceutically acceptable excipient</u>.

- 6. (Currently Amended) An angiogenesis inhibitor <u>composition containing comprising</u> the tricyclic derivatives of any one of claim 1—claim 3 or pharmaceutically acceptable salts thereof <u>as set forth in any one of claims 1, 3, or 7</u> as an effective ingredient and a <u>pharmaceutically acceptable excipient</u>.
- 7. (New) A tricyclic derivative represented by the following <Formula 1> or pharmaceutically acceptable salts thereof:

<Formula 1>

wherein

(1) R_1 is $-T_1$ - B_1 ; wherein

$$T_1$$
 is $-X_1$ -, $-X_1$ - $C(X_2)$ -, $-N(R_5)$ -, $-N(R_5)C(X_2)$ -, $-N(R_5)S(O)n_1$ -, $-N(R_5)C(O)$ - X_1 - or $-N(R_5)C(X_1)NH$ -, wherein X_1 and X_2 are O or S ; R_5 is H or $C_1 \cap C_5$ alkyl group, n_1 is an integer of 1 - 2 ; and B_1 is selected from the group consisting of

wherein,

 R_6 is halogen, hydroxy, $C_1 \sim C_3$ alkoxy, amino, nitro, cyano or $C_1 \sim C_3$ lower alkyl group;

 R_8 is H, halogen, hydroxy, $C_1 \, \widetilde{\ } \, C_3$ alkoxy, amino, nitro, cyano or $C_1 \, \widetilde{\ } \, C_3$ lower alkyl group;

 R_7 and R_9 are each independently halogen, hydroxy, mercapto, -ONO, -ONO₂ or SNO, in which R_7 and R_9 are same or different;

c

is a C_5 \sim C_6 membered saturated or unsaturated heterocyclic ring containing 1~2 of hetero atom, in which the hetero atom is selected from a group consisting of O, S and N;

 Z_1 is $C_1 \sim C_{10}$ straight-chain or branched-chain alkyl group;

 Z_2 and Z_3 are each independently H or methyl group, in which Z_3 is H when Z_2 is methyl group, Z_2 is H when Z_3 is methyl group;

 T_2 is $-X_1$ - or $-X_1$ - $C(X_2)$ -, in that X_1 and X_2 are each independently O or S; B_2 is selected from a the group consisting of

 n_2 is an integer of $0\sim3$;

 n_3 is an integer of $0\sim5$;

n₄ is an integer of 1~5; and

 n_5 and n_6 are each independently an integer of 1~6;

- (2) R_2 and R_3 are each independently H, -PO₃H₂, phosphonate, sulfate, $C_3 \, ^{\sim} \, C_7$ cycloalkyl, $C_2 \, ^{\sim} \, C_7$ alkenyl, $C_1 \, ^{\sim} \, C_7$ alkenyl, $C_1 \, ^{\sim} \, C_7$ alkanoyl, $C_1 \, ^{\sim} \, C_7$ straight-chain or branched-chain alkyl or sugar, in which sugar is a monosaccharide such as glucuronyl, glucosyl or galactosyl;
- (3) R_4 is OCH₃, SCH₃ or $NR_{10}R_{11}$, in which R_{10} and R_{11} are each independently H or $C_{1\sim5}$ alkyl; and
 - (4) X is O or S.
- 8. (New) The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in any one of claims 1 or 7, wherein

 $T_1 \ is \ -N(R_5)C(X_2)\text{--, -N}(R_5)C(O)\text{--}X_1\text{-- or --N}(R_5)C(X_1)NH\text{--, wherein }X_1 \ and \ X_2 \ are each \\ O,$

 n_4 is an integer of $1\sim3$;

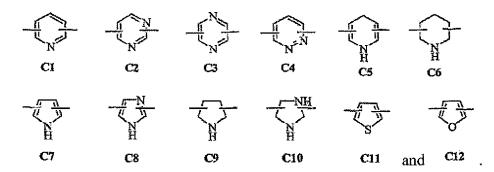
 n_5 and n_6 are each independently an integer of 1~3;

 R_2 and R_3 are each independently $C_3 \sim C_7$ cycloalkyl or $C_1 \sim C_7$ alkyl; and R_4 is SCH₃ or OCH₃.

9. (New) The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in any one of claims 1 or 7, wherein



is selected from the group consisting of



10. (New) The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in any one of claims 1 or 7, wherein



is selected from the group consisting of C1 (pyridyl group) substituted at position 2 and 6 or position 2 and 5, C7 (pyrrolyl group) substituted at position 2 and 5 or position 2 and 4, C11 (thiophenyl group) and C12 (furanyl group).

11. (New) The tricyclic derivative or pharmaceutically acceptable salts thereof as set forth in any one of claims 1 or 7, wherein Z_1 is $C_2 \, \sim \, C_5$ straight-chain or branched-chain alkyl group or cycloalkyl group having substituent.